

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Pearson, James P. *et al.*

Serial No.: Not Yet Assigned

Filed: Herewith (continuation application of  
08/456,864

For: Autoinducer Molecule

Attorney Docket No.: UIZ-003DVCN

Group Art Unit: 1641

Examiner: Baskar, P.

Assistant Commissioner for Patents  
Washington, D.C. 20231

ASSOCIATE POWER OF ATTORNEY

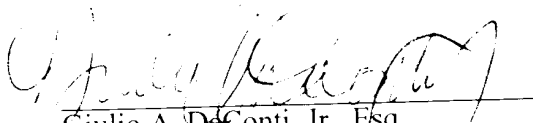
Sir:

The undersigned attorney has the power of attorney in the subject patent. He hereby grants an associate power to:

Peter C. Lauro, Esq.  
Registration No. 32,360  
Lahive & Cockfield, LLP  
28 State Street  
Boston, MA 02109

Please continue to forward all written and telephonic communications to Elizabeth A. Hanley, Esq. at the address and telephone number listed below.

Respectfully submitted,

  
Giulio A. DeConti, Jr., Esq.  
Registration No. 31, 503  
Attorney for Applicants

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400

Dated: April 3, 2000

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James P. Pearson, et al.

Attorney Docket No.: UIZ-003

Serial No.: 104,487

Filed: August 9, 1993

Title: AN AUTOINDUCER MOLECULE

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(d))—NONPROFIT ORGANIZATION

I HEREBY DECLARE THAT I AM AN OFFICIAL EMPOWERED TO ACT ON BEHALF OF THE NONPROFIT ORGANIZATION IDENTIFIED BELOW:

NAME OF NONPROFIT ORGANIZATION

THE UNIVERSITY OF IOWA RESEARCH FOUNDATION

ADDRESS OF NONPROFIT ORGANIZATION

214 TECHNOLOGY INNOVATION CENTER, OAKDALE RESEARCH CAMPUS  
IOWA CITY, IOWA 52319

TYPE OF NONPROFIT ORGANIZATION

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))  
☒ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
 (NAME OF STATE IOWA  
 (CITATION OF STATUTE CIT. AFTER 504A, CODE OF IOWA)

I HEREBY DECLARE THAT THE NONPROFIT ORGANIZATION IDENTIFIED ABOVE QUALIFIES AS A NONPROFIT ORGANIZATION AS DEFINED IN 37 CFR 1.9(e) FOR PURPOSES OF PAYING REDUCED FEES TO THE UNITED STATES PATENT AND TRADEMARK OFFICE REGARDING THE INVENTION ENTITLED: AN AUTOINDUCER MOLECULE BY INVENTOR(S) JAMES P. PEARSON, ET AL.

DESCRIBED IN:

- ☐ THE SPECIFICATION FILED HERewith.  
☒ APPLICATION SERIAL NO. 104,487  
☐ PATENT NO. \_\_\_\_\_

FILED: August 9, 1993  
 ISSUED \_\_\_\_\_

I HEREBY DECLARE THAT RIGHTS UNDER CONTRACT OR LAW HAVE BEEN CONVEYED TO AND REMAIN WITH THE NONPROFIT ORGANIZATION REGARDING THE ABOVE-IDENTIFIED INVENTION.

IF THE RIGHTS HELD BY THE NONPROFIT ORGANIZATION ARE NOT EXCLUSIVE, EACH INDIVIDUAL, CONCERN OR ORGANIZATION HAVING RIGHTS IN THE INVENTION IS LISTED BELOW\* AND NO RIGHTS TO THE INVENTION ARE HELD BY ANY PERSON, OTHER THAN THE INVENTOR WHO WOULD NOT QUALIFY AS AN INDEPENDENT INVENTOR UNDER 37 CFR 1.9(c) IF THAT PERSON MADE THE INVENTION, OR BY ANY CONCERN WHICH WOULD NOT QUALIFY AS A SMALL BUSINESS CONCERN UNDER 37 CFR 1.9(d) OR A NONPROFIT ORGANIZATION UNDER 37 CFR 1.9(e).

\*NOTE: SEPARATE VERIFIED STATEMENTS ARE REQUIRED FROM EACH NAMED PERSON, CONCERN OR ORGANIZATION HAVING RIGHTS TO THE INVENTION AVERRING TO THEIR STATUS AS SMALL ENTITIES (37 CFR 1.27)

NAME Ithaca College

ADDRESS Ithaca, New York 14850

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

NAME University Of Rochester

ADDRESS Rochester, New York 14627

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

I ACKNOWLEDGE THE DUTY TO FILE, IN THIS APPLICATION OR PATENT, NOTIFICATION OF ANY CHANGE IN STATUS RESULTING IN LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS PRIOR TO PAYING, OR AT THE TIME OF PAYING, THE EARLIEST OF THE ISSUE FEE OR ANY MAINTENANCE FEE DUE AFTER THE DATE ON WHICH STATUS AS A SMALL ENTITY IS NO LONGER APPROPRIATE. (37 CFR 1.28(b))

I HEREBY DECLARE THAT ALL STATEMENTS MADE HEREIN OF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT WILLFUL FALSE STATEMENTS AND THE LIKE SO MADE ARE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE 18 OF THE UNITED STATES CODE, AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION, ANY PATENT ISSUING THEREON, OR ANY PATENT TO WHICH THIS VERIFIED STATEMENT IS DIRECTED.

NAME OF PERSON SIGNING W. BRUCE WHEATON

TITLE IN ORGANIZATION OF PERSON SIGNING Executive Director, The University of Iowa Research Foundation

ADDRESS OF PERSON SIGNING 214 Technology Innovation Center, Oakdale Research Campus, Iowa City, Iowa 52319

SIGNATURE

*[Handwritten Signature]*

DATE

9/24/93

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James P. Pearson, et al.

Attorney Docket No.: UIZ-003

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I HEREBY DECLARE THAT I AM AN OFFICIAL EMPOWERED TO ACT ON BEHALF OF THE NONPROFIT ORGANIZATION IDENTIFIED BELOW:

NAME OF NONPROFIT ORGANIZATION UNIVERSITY OF ROCHESTER

ADDRESS OF NONPROFIT ORGANIZATION ROCHESTER, NEW YORK 14627

ADDRESS OF NONPROFIT ORGANIZATION

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
 (NAME OF STATE \_\_\_\_\_)  
 (CITATION OF STATUTE \_\_\_\_\_)

I HEREBY DECLARE THAT THE NONPROFIT ORGANIZATION IDENTIFIED ABOVE QUALIFIES AS A NONPROFIT ORGANIZATION AS DEFINED IN 37 CFR 1.9(E) FOR PURPOSES OF PAYING REDUCED FEES TO THE UNITED STATES PATENT AND TRADEMARK OFFICE REGARDING THE INVENTION ENTITLED: AN AUTOINDUCER MOLECULE BY INVENTOR(S) JAMES P. PEARSON, ET AL.

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NAME The University of Iowa Research Foundation  
 ADDRESS 214 Technology Center, Oakdale Research Campus, Iowa City, Iowa  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

NAME Ithaca College  
 ADDRESS Ithaca, New York 14627  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

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NAME OF PERSON SIGNING JANE YOUNGERS  
 TITLE IN ORGANIZATION OF PERSON SIGNING Director - Office of Research, University of Rochester  
 ADDRESS OF PERSON SIGNING 518 Hylan Building, Rochester, New York 14627

SIGNATURE

*Jane Youngers*

DATE

30 September 1993

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NAME OF NONPROFIT ORGANIZATION

ITHACA COLLEGE

ADDRESS OF NONPROFIT ORGANIZATION

ITHACA, NEW YORK 14850

ADDRESS OF NONPROFIT ORGANIZATION

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ADDRESS 214 Technology Innovation Center, Oakdale Research Campus, Iowa City, Iowa 52319

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

NAME University Of Rochester

ADDRESS Rochester, New York 14627

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

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NAME OF PERSON SIGNING MARGARET BALL

TITLE IN ORGANIZATION OF PERSON SIGNING Vice President/College Counsel, Ithaca College,

ADDRESS OF PERSON SIGNING Job Hall, Ithaca, New York 14850

SIGNATURE

Margaret T. Ball

DATE

10/1/93